

UNITED STATES BANKRUPTCY COURT
DISTRICT OF NEW MEXICO
ALBUQUERQUE DIVISION

In re: §
§
COLLEGE OF THE CHRISTIAN § Case No. 12-11195
BROTHERS O §
§
Debtor §

**CHAPTER 7 TRUSTEE'S FINAL ACCOUNT AND DISTRIBUTION
REPORT CERTIFICATION THAT THE ESTATE HAS BEEN FULLY
ADMINISTERED AND APPLICATION TO BE DISCHARGED (TDR)**

MICHAEL J. CAPLAN - CHP 7, chapter 7 trustee, submits this Final Account, Certification that the Estate has been Fully Administered and Application to be Discharged.

1) All funds on hand have been distributed in accordance with the Trustee's Final Report and, if applicable, any order of the Court modifying the Final Report. The case is fully administered and all assets and funds which have come under the trustee's control in this case have been properly accounted for as provided by law. The trustee hereby requests to be discharged from further duties as a trustee.

2) A summary of assets abandoned, assets exempt, total distributions to claimants, claims discharged without payment, and expenses of administration is provided below:

Assets Abandoned: 28,075,000.00
(Without deducting any secured claims)

Assets Exempt: 0.00

Total Distributions to Claimants: 192,163.20

Claims Discharged

Without Payment: 0.00

Total Expenses of Administration: 72,617.15

3) Total gross receipts of \$ 708,585.17 (see **Exhibit 1**), minus funds paid to the debtor and third parties of \$ 443,804.82 (see **Exhibit 2**), yielded net receipts of \$ 264,780.35 from the liquidation of the property of the estate, which was distributed as follows:

	CLAIMS SCHEDULED	CLAIMS ASSERTED	CLAIMS ALLOWED	CLAIMS PAID
SECURED CLAIMS (from Exhibit 3)	\$ NA	\$ NA	\$ NA	\$ NA
PRIORITY CLAIMS: CHAPTER 7 ADMIN. FEES AND CHARGES (from Exhibit 4)	NA	71,763.42	72,625.15	72,617.15
PRIOR CHAPTER ADMIN. FEES AND CHARGES (from Exhibit 5)	NA	NA	NA	NA
PRIORITY UNSECURED CLAIMS (from Exhibit 6)	NA	10,061.99	10,061.99	10,061.99
GENERAL UNSECURED CLAIMS (from Exhibit 7)	NA	1,977,029.78	1,977,029.78	182,101.21
TOTAL DISBURSEMENTS	\$ NA	\$ 2,058,855.19	\$ 2,059,716.92	\$ 264,780.35

4) This case was originally filed under chapter 7 on 03/27/2012 . The case was pending for 62 months.

5) All estate bank statements, deposit slips, and canceled checks have been submitted to the United States Trustee.

6) An individual estate property record and report showing the final accounting of the assets of the estate is attached as **Exhibit 8**. The cash receipts and disbursements records for each estate bank account, showing the final accounting of the receipts and disbursements of estate funds is attached as **Exhibit 9**.

Pursuant to Fed R Bank P 5009, I hereby certify, under penalty of perjury, that the foregoing report is true and correct.

Dated: 04/26/2017 By: /s/MICHAEL J. CAPLAN - CHP 7
Trustee

STATEMENT: This Uniform Form is associated with an open bankruptcy case, therefore, Paperwork Reduction Act exemption 5 C.F.R. § 1320.4(a)(2) applies.

**EXHIBITS TO
FINAL ACCOUNT**

EXHIBIT 1 – GROSS RECEIPTS

DESCRIPTION	UNIFORM TRAN. CODE ¹	\$ AMOUNT RECEIVED
Funds in First Citizens Bank	1129-000	1,431.24
Funds in NM Bank and Trust	1129-000	462,531.83
Funds in NM Bank and Trust	1129-000	230,837.08
Funds in NM Bank and Trust	1129-000	9,052.50
Funds in Long, Pound & Komer trust account	1290-000	4,660.74
Refund from Lexis Nexis	1290-000	71.78
TOTAL GROSS RECEIPTS		\$ 708,585.17

¹The Uniform Transaction Code is an accounting code assigned by the trustee for statistical reporting purposes.

EXHIBIT 2 – FUNDS PAID TO DEBTOR & THIRD PARTIES

PAYEE	DESCRIPTION	UNIFORM TRAN. CODE	\$ AMOUNT PAID
COLLEGE OF THE CHRISTIAN BROTHERS O	Surplus Funds Paid to Debtor 726 (a)(6) (includes Payments to shareholders and limited partners)	8200-002	397,125.09
MICHAEL J. CAPLAN	Surplus Funds Paid to Debtor 726 (a)(6) (includes Payments to shareholders and limited partners)	8200-002	4,867.89
P. A. Long Pound & Komer	Surplus Funds Paid to Debtor 726 (a)(6) (includes Payments to shareholders and limited partners)	8200-002	41,811.84

PAYEE	DESCRIPTION	UNIFORM TRAN. CODE	\$ AMOUNT PAID
TOTAL FUNDS PAID TO DEBTOR & THIRD PARTIES			\$ 443,804.82

EXHIBIT 3 – SECURED CLAIMS

CLAIM NO.	CLAIMANT	UNIFORM TRAN. CODE	CLAIMS SCHEDULED (from Form 6D)	CLAIMS ASSERTED	CLAIMS ALLOWED	CLAIMS PAID
NA	NA	NA	NA	NA	NA	NA
TOTAL SECURED CLAIMS			\$ NA	\$ NA	\$ NA	\$ NA

EXHIBIT 4 – CHAPTER 7 ADMINISTRATIVE FEES and CHARGES

PAYEE	UNIFORM TRAN. CODE	CLAIMS SCHEDULED	CLAIMS ASSERTED	CLAIMS ALLOWED	CLAIMS PAID
MICHAEL J. CAPLAN	2100-000	NA	16,470.81	16,470.81	16,470.81
MICHAEL J. CAPLAN	2200-000	NA	1,785.77	1,785.77	1,785.77
International Sureties, Ltd	2300-000	NA	1,700.71	1,700.71	1,700.71
LTD INTERNATIONAL SURETIES	2300-000	NA	0.00	861.73	861.73
BANK OF AMERICA	2600-000	NA	1,902.79	1,902.79	1,902.79
First National Bank - Vinita	2600-000	NA	10,042.62	10,042.62	10,042.62
First National Bank of Vinita	2600-000	NA	4,268.85	4,268.85	4,268.85
New Mexico Taxation & Revenue Department	2810-000	NA	196.00	196.00	188.00

PAYEE	UNIFORM TRAN. CODE	CLAIMS SCHEDULED	CLAIMS ASSERTED	CLAIMS ALLOWED	CLAIMS PAID
New Mexico Taxation and Revenue Department	2810-000	NA	68.00	68.00	68.00
Arthur J. Gallagher & Co. Insurance	2990-000	NA	20,585.15	20,585.15	20,585.15
MICHAEL J. CAPLAN	3110-000	NA	5,575.99	5,575.99	5,575.99
MICHAEL J. CAPLAN	3120-000	NA	413.04	413.04	413.04
Laurel Shelton	3410-000	NA	2,990.00	2,990.00	2,990.00
Steven W. Johnson	3410-000	NA	5,763.69	5,763.69	5,763.69
TOTAL CHAPTER 7 ADMIN. FEES AND CHARGES		\$ NA	\$ 71,763.42	\$ 72,625.15	\$ 72,617.15

EXHIBIT 5 – PRIOR CHAPTER ADMINISTRATIVE FEES and CHARGES

PAYEE	UNIFORM TRAN. CODE	CLAIMS SCHEDULED	CLAIMS ASSERTED	CLAIMS ALLOWED	CLAIMS PAID
NA	NA	NA	NA	NA	NA
TOTAL PRIOR CHAPTER ADMIN. FEES AND CHARGES		\$ NA	\$ NA	\$ NA	\$ NA

EXHIBIT 6 – PRIORITY UNSECURED CLAIMS

CLAIM NO.	CLAIMANT	UNIFORM TRAN. CODE	CLAIMS SCHEDULED (from Form 6E)	CLAIMS ASSERTED (from Proofs of Claim)	CLAIMS ALLOWED	CLAIMS PAID
10	City of Santa Fe	5800-000	NA	9,973.24	9,973.24	9,973.24

CLAIM NO.	CLAIMANT	UNIFORM TRAN. CODE	CLAIMS SCHEDULED (from Form 6E)	CLAIMS ASSERTED (from Proofs of Claim)	CLAIMS ALLOWED	CLAIMS PAID
5	New Mexico Tax & Rev Dept	5800-000	NA	88.75	88.75	88.75
TOTAL PRIORITY UNSECURED CLAIMS			\$ NA	\$ 10,061.99	\$ 10,061.99	\$ 10,061.99

EXHIBIT 7 – GENERAL UNSECURED CLAIMS

CLAIM NO.	CLAIMANT	UNIFORM TRAN. CODE	CLAIMS SCHEDULED (from Form 6F)	CLAIMS ASSERTED (from Proofs of Claim)	CLAIMS ALLOWED	CLAIMS PAID
4	Atkinson & Co. Ltd.	7100-000	NA	8,485.10	8,485.10	8,485.10
2	EBSA U. S. Department of Labor	7100-000	NA	50,000.00	50,000.00	50,000.00
12	Jeffrey Snyder	7100-000	NA	800,261.77	800,261.77	0.00
8	LLP Brownstein Hyatt Faber Schreck	7100-000	NA	51,690.39	51,690.39	51,690.39
6	Michael J. Hymel	7100-000	NA	48,399.00	48,399.00	48,399.00
5a	NEW MEXICO TAXATION & REVENUE DEPAR	7100-000	NA	638.79	638.79	638.79
7	P. A. Long Pound & Komer	7100-000	NA	12,846.36	12,846.36	12,846.36
13	Pension Planning Consultants, Inc.	7100-000	NA	8,303.30	8,303.30	8,303.30
11	Promason, Inc.	7100-000	NA	187,840.00	187,840.00	0.00

CLAIM NO.	CLAIMANT	UNIFORM TRAN. CODE	CLAIMS SCHEDULED (from Form 6F)	CLAIMS ASSERTED (from Proofs of Claim)	CLAIMS ALLOWED	CLAIMS PAID
12	Jeffrey Synder	7200-000	NA	800,261.77	800,261.77	0.00
11	Pension Planning Consultants	7200-000	NA	8,303.30	8,303.30	0.00
	Atkinson & Co. Ltd.	7990-000	NA	NA	NA	63.51
	City of Santa Fe	7990-000	NA	NA	NA	74.65
	EBSA U. S. Department of Labor	7990-000	NA	NA	NA	374.25
	LLP Brownstein Hyatt Faber Schreck	7990-000	NA	NA	NA	386.90
	Michael J. Hymel	7990-000	NA	NA	NA	362.26
	New Mexico Tax & Rev Dept	7990-000	NA	NA	NA	0.66
	NEW MEXICO TAXATION & REVENUE DEPAR	7990-000	NA	NA	NA	4.78
	P. A. Long Pound & Komer	7990-000	NA	NA	NA	409.11
	Pension Planning Consultants, Inc.	7990-000	NA	NA	NA	62.15
TOTAL GENERAL UNSECURED CLAIMS			\$ NA	\$ 1,977,029.78	\$ 1,977,029.78	\$ 182,101.21

**FORM 1
INDIVIDUAL ESTATE PROPERTY RECORD AND REPORT
ASSET CASES**

Exhibit 8

Case No: 12-11195 J Judge: Robert H. Jacobvitz Trustee Name: MICHAEL J. CAPLAN - CHP 7
 Case Name: COLLEGE OF THE CHRISTIAN BROTHERS O Date Filed (f) or Converted (c): 03/27/2012 (f)
 341(a) Meeting Date: 05/04/2012
 For Period Ending: 04/26/2017 Claims Bar Date: 09/20/2012

1	2	3	4	5	6
Asset Description (Scheduled and Unscheduled (u) Property)	Petition/ Unscheduled Values	Est Net Value (Value Determined by Trustee, Less Liens, Exemptions, and Other Costs)	Property Formally Abandoned OA=554(a)	Sale/Funds Received by the Estate	Asset Fully Administered (FA)/ Gross Value of Remaining Assets
1. Funds in Long, Pound & Komer trust account (u)	4,660.74	4,660.74		4,660.74	FA
2. Funds in First Citizens Bank	1,431.24	1,431.24		1,431.24	FA
3. Funds in NM Bank and Trust	462,435.81	462,435.81		462,531.83	FA
4. Funds in NM Bank and Trust	230,789.16	230,789.16		230,837.08	FA
5. Funds in NM Bank and Trust	9,062.41	9,062.41		9,052.50	FA
6. Contents of Safety Deposit box (u)	10,000.00	10,000.00		0.00	FA
7. Mineral rights (u)	1,000,000.00	50,000.00		0.00	FA
8. Charitable gift from Digneo trust (u)	Unknown	0.00		0.00	FA
9. Charitable gift from Hoyt trust (u)	Unknown	0.00	OA	0.00	FA
10. Claim against City of Santa Fe & Laureate Education (u)	26,000,000.00	0.00		0.00	FA
11. Claim against First Community Bank (u)	1,000,000.00	0.00		0.00	FA
12. Claim against Risk Pooling Trust (u)	60,000.00	0.00		0.00	FA
13. Territorial Charter for College (u)	Unknown	0.00		0.00	FA
14. Documents in boxes in storage (u)	Unknown	0.00		0.00	FA
15. Refund from Lexis Nexis (u)	71.78	71.78		71.78	FA
16. Claim against Risk Pooling Trust (u)	Unknown	0.00	OA	0.00	FA
17. Unclaimed funds held by the State of New Mexico (u)	5,000.00	0.00		0.00	FA
INT. Post-Petition Interest Deposits (u)	Unknown	N/A		0.00	Unknown

			Gross Value of Remaining Assets
TOTALS (Excluding Unknown Values)	\$28,783,451.14	\$768,451.14	\$708,585.17
			\$0.00
			(Total Dollar Amount in Column 6)

Major activities affecting case closing which are not reflected above, and matters pending, date of hearing or sale, and other action:

09/19/12 All claims are known. This will be a surplus case. The Trustee will abandon the contents of the Safe Deposit Box and a claim against the Hoyt Admin Trust. Trustee will move to file the TFR.

1/14/13 Debtor has objected to most of the Proofs of Claims. These issues will need to be resolved before the trustee can move forward.

1/15/2014 A party is litigating with the debtor over the status of funds held by the estate. Once that issue is resolved, the Trustee will move to close the case.

6/17/2014 All claims have been resolved. Trustee will prepare the TFR.

- Michael Caplan 7/9/2014 Even though this debtor is a non-profit corporation and pays no income tax, tax returns must be filed and the Trustee is awaiting their preparation.

1/27/2015 Debtor provided no prior tax returns or financial information to prepare tax returns. CPA is trying to figure how to file returns without prior information.

Exhibit 8

Initial Projected Date of Final Report (TFR): 12/31/2015

Current Projected Date of Final Report (TFR): 12/31/2015

FORM 2
ESTATE CASH RECEIPTS AND DISBURSEMENTS RECORD

Case No: 12-11195

Case Name: COLLEGE OF THE CHRISTIAN BROTHERS O

Trustee Name: MICHAEL J. CAPLAN - CHP 7

Exhibit 9

Taxpayer ID No: XX-XXX0718

For Period Ending: 04/26/2017

Bank Name: First National Bank - Vinita

Account Number/CD#: XXXXXX1121

CHECKING ACCOUNT

Blanket Bond (per case limit): \$8,500,000.00

Separate Bond (if applicable):

1	2	3	4	5	6	7
Transaction Date	Check or Reference	Paid To / Received From	Description of Transaction	Uniform Tran. Code	Deposits (\$)	Disbursements (\$)
08/09/12		Transfer from Acct# XXXXXX9399	Transfer of Funds	9999-000	\$706,610.60	\$706,610.60
09/24/12		First National Bank of Vinita	ACH (AUTO DEBIT)	2600-000		\$333.95
10/10/12	1001	INTERNATIONAL SURETIES, LTD Suite 420 701 Poydras St. New Orleans, LA 70139	Blanket Bond No. 016024923	2300-000		\$817.43
10/15/12	1001	INTERNATIONAL SURETIES, LTD Suite 420 701 Poydras St. New Orleans, LA 70139	Check did not match Blanket Bond Report	2300-000		(\$817.43)
10/15/12	1002	INTERNATIONAL SURETIES, LTD Suite 420 701 Poydras St. New Orleans, LA 70139	Blanket Bond #016024923	2300-000		\$861.73
10/16/12		First National Bank of Vinita	ACH (AUTO DEBIT)	2600-000		\$435.53
11/06/12		First National Bank of Vinita	ACH (AUTO DEBIT)	2600-000		\$449.52
12/07/12		First National Bank of Vinita	ACH (AUTO DEBIT)	2600-000		\$434.35
01/08/13		First National Bank of Vinita	ACH (AUTO DEBIT)	2600-000		\$448.55
02/07/13		First National Bank of Vinita	ACH (AUTO DEBIT)	2600-000		\$747.13
03/07/13		First National Bank of Vinita	ACH (AUTO DEBIT)	2600-000		\$674.17
04/05/13		First National Bank of Vinita	ACH (AUTO DEBIT)	2600-000		\$745.65
05/07/13		First National Bank - Vinita	Bank Service Fee under 11 U.S.C. § 330(a)(1)(B), 503(b) (1), and 507(a)(2)	2600-000		\$720.80
06/07/13		First National Bank - Vinita	Bank Service Fee under 11 U.S.C. § 330(a)(1)(B), 503(b) (1), and 507(a)(2)	2600-000		\$744.10
						\$700,015.12

FORM 2
ESTATE CASH RECEIPTS AND DISBURSEMENTS RECORD

Case No: 12-11195

Case Name: COLLEGE OF THE CHRISTIAN BROTHERS O

Trustee Name: MICHAEL J. CAPLAN - CHP 7

Exhibit 9

Taxpayer ID No: XX-XXX0718

For Period Ending: 04/26/2017

Bank Name: First National Bank - Vinita

Account Number/CD#: XXXXXX1121

CHECKING ACCOUNT

Blanket Bond (per case limit): \$8,500,000.00

Separate Bond (if applicable):

1	2	3	4	5	6	7
Transaction Date	Check or Reference	Paid To / Received From	Description of Transaction	Uniform Tran. Code	Deposits (\$)	Disbursements (\$)
07/08/13		First National Bank - Vinita 102 W. Illinois Avenue Vinita, OK 74301	Bank Service Fee	2600-000		\$719.35
08/07/13		First National Bank - Vinita	Bank Service Fee under 11 U.S.C. § 330(a)(1)(B), 503(b) (1), and 507(a)(2)	2600-000		\$742.58
08/30/13	1003	International Sureties, Ltd 701 Poydras St. New Orleans, LA 70139	Bond #016024923	2300-000		\$968.03
09/09/13		First National Bank - Vinita	Bank Service Fee under 11 U.S.C. § 330(a)(1)(B), 503(b) (1), and 507(a)(2)	2600-000		\$741.77
10/07/13		First National Bank - Vinita	Bank Service Fee under 11 U.S.C. § 330(a)(1)(B), 503(b) (1), and 507(a)(2)	2600-000		\$716.27
10/08/13	15	LexisNexis One Penn's Way New Castle, DE 19720	Accounts Receivable	1290-000	\$71.78	
11/07/13		First National Bank - Vinita	Bank Service Fee under 11 U.S.C. § 330(a)(1)(B), 503(b) (1), and 507(a)(2)	2600-000		\$739.24
12/06/13		First National Bank - Vinita	Bank Service Fee under 11 U.S.C. § 330(a)(1)(B), 503(b) (1), and 507(a)(2)	2600-000		\$714.67
12/12/13	1004	Arthur J. Gallagher & Co. Insurance P.O. Box 742886 Los Angeles, CA 90074-2886	Order dated 11/21/2013 Approving Distribution	2990-000		\$20,585.15
01/08/14		First National Bank - Vinita	Bank Service Fee under 11 U.S.C. § 330(a)(1)(B), 503(b) (1), and 507(a)(2)	2600-000		\$727.82
02/07/14		First National Bank - Vinita	Bank Service Fee under 11 U.S.C. § 330(a)(1)(B), 503(b) (1), and 507(a)(2)	2600-000		\$715.12
03/07/14		First National Bank - Vinita	Bank Service Fee under 11 U.S.C. § 330(a)(1)(B), 503(b) (1), and 507(a)(2)	2600-000		\$645.22

FORM 2
ESTATE CASH RECEIPTS AND DISBURSEMENTS RECORD

Case No: 12-11195

Case Name: COLLEGE OF THE CHRISTIAN BROTHERS O

Trustee Name: MICHAEL J. CAPLAN - CHP 7

Exhibit 9

Taxpayer ID No: XX-XXX0718

For Period Ending: 04/26/2017

Bank Name: First National Bank - Vinita

Account Number/CD#: XXXXXX1121

CHECKING ACCOUNT

Blanket Bond (per case limit): \$8,500,000.00

Separate Bond (if applicable):

1	2	3	4	5	6	7
Transaction Date	Check or Reference	Paid To / Received From	Description of Transaction	Uniform Tran. Code	Deposits (\$)	Disbursements (\$)
04/07/14		First National Bank - Vinita	Bank Service Fee under 11 U.S.C. § 330(a)(1)(B), 503(b) (1), and 507(a)(2)	2600-000		\$713.63
05/07/14		First National Bank - Vinita	Bank Service Fee under 11 U.S.C. § 330(a)(1)(B), 503(b) (1), and 507(a)(2)	2600-000		\$689.90
06/06/14		First National Bank - Vinita	Bank Service Fee under 11 U.S.C. § 330(a)(1)(B), 503(b) (1), and 507(a)(2)	2600-000		\$712.15
09/03/14	1005	International Sureties, Ltd 701 Poydras St. New Orleans, LA 70139	2014 Bond Payments	2300-000		\$732.68
12/08/14	1006	Shelton, Laurel 7452 Jefferson St. NE Albuquerque, New Mexico 87109	Order Granting Trustee's Motion Allowing Compensation & Pymt to Laurel Shelton, CPA, Doc. #139	3410-000		\$2,990.00
02/12/15	1006	Shelton, Laurel 7452 Jefferson St. NE Albuquerque, New Mexico 87109	Order Granting Trustee's Motion Allowing Compensation & Pymt to Laurel Shelton, CPA, Doc. #139 Reversal	3410-000		(\$2,990.00)
03/03/15	1007	Shelton, Laurel 7425 Jefferson St. NE Albuquerque, New Mexico 87109	Order Granting Trustee's Motion Allowing Compensation & Pymt to Laurel Shelton, CPA, Doc. #139	3410-000		\$2,990.00
06/03/15	1008	NEW MEXICO TAXATION & REVENUE DEPT. PO Box 25127 Santa Fe, New Mexico 87504-5127	2013 CIT-1, FEIN 85-0120718 Income Tax Tax Year Ended 6/30/2014	2810-000		\$60.00
06/03/15	1009	NEW MEXICO TAXATION & REVENUE DEPARTMENT PO Box 25127 Santa Fe, New Mexico 87504-5127	2012 CIT-1, FEIN 85-0120718, Income Tax Tax Year Ended 6/30/2013	2810-000		\$63.00
06/03/15	1010	NEW MEXICO TAXATION & REVENUE DEPARTMENT PO Box 25127 Santa Fe, New Mexico 87504-5127	2011 CIT-PV FEIN 85-1020718, Income Tax, Tax Year Ended 6/30/2012	2810-000		\$65.00

FORM 2
ESTATE CASH RECEIPTS AND DISBURSEMENTS RECORD

Case No: 12-11195

Case Name: COLLEGE OF THE CHRISTIAN BROTHERS O

Trustee Name: MICHAEL J. CAPLAN - CHP 7

Exhibit 9

Taxpayer ID No: XX-XXX0718

For Period Ending: 04/26/2017

Bank Name: First National Bank - Vinita

Account Number/CD#: XXXXXX1121

CHECKING ACCOUNT

Blanket Bond (per case limit): \$8,500,000.00

Separate Bond (if applicable):

1	2	3	4	5	6	7	
Transaction Date	Check or Reference	Paid To / Received From	Description of Transaction	Uniform Tran. Code	Deposits (\$)	Disbursements (\$)	Account/CD Balance (\$)
06/03/15	1011	NEW MEXICO TAXATION & REVENUE DEPARTMENT PO Box 25127 Santa Fe, New Mexico 87504-5127	2010 CIT-1, FEIN 85-0120718, Income Tax, Tax Year Ended 2011	2810-000		\$68.00	\$665,977.32
12/22/15	1012	MICHAEL J. CAPLAN 827 EAST SANTA FE AVENUE GRANTS, NM 87020	Final distribution representing a payment of 100.00 % per court order.			\$21,338.70	\$644,638.62
		CAPLAN, MICHAEL J.	Final distribution representing a payment of 100.00 % per court order.	(\$16,470.81)	2100-000		
				(\$4,867.89)	8200-002		
12/22/15	1013	MICHAEL J. CAPLAN 827 EAST SANTA FE AVENUE GRANTS, NM 87020	Final distribution representing a payment of 100.00 % per court order.	2200-000		\$1,785.77	\$642,852.85
12/22/15	1014	MICHAEL J. CAPLAN Attorney for Trustee827 E. Santa Fe Ave. Grants, NM 87020	Final distribution representing a payment of 100.00 % per court order.	3110-000		\$5,575.99	\$637,276.86
12/22/15	1015	MICHAEL J. CAPLAN Attorney for Trustee827 E. Santa Fe Ave. Grants, NM 87020	Final distribution representing a payment of 100.00 % per court order.	3120-000		\$413.04	\$636,863.82
12/22/15	1016	Steven W. Johnson 119 E. Marcy Street, Suite 203 Santa Fe, NM 87501	Final distribution representing a payment of 100.00 % per court order.	3410-000		\$5,763.69	\$631,100.13
12/22/15	1017	City of Santa Fe City Attorney's Office PO Box 909 Santa Fe, NM 87504-0909	Final distribution to claim 10 representing a payment of 100.00 % per court order.			\$10,047.89	\$621,052.24
				(\$74.65)	7990-000		
		City of Santa Fe	Final distribution to claim 10 representing a payment of 100.00 % per court order.	(\$9,973.24)	5800-000		
12/22/15	1018	New Mexico Tax & Rev Dept PO Box 8575 Albuquerque, NM 87198-8575	Final distribution to claim 5 representing a payment of 100.00 % per court order.			\$89.41	\$620,962.83
				(\$0.66)	7990-000		

FORM 2
ESTATE CASH RECEIPTS AND DISBURSEMENTS RECORD

Case No: 12-11195

Case Name: COLLEGE OF THE CHRISTIAN BROTHERS O

Trustee Name: MICHAEL J. CAPLAN - CHP 7

Exhibit 9

Taxpayer ID No: XX-XXX0718

For Period Ending: 04/26/2017

Bank Name: First National Bank - Vinita

Account Number/CD#: XXXXXX1121

CHECKING ACCOUNT

Blanket Bond (per case limit): \$8,500,000.00

Separate Bond (if applicable):

1	2	3	4	5	6	7	
Transaction Date	Check or Reference	Paid To / Received From	Description of Transaction	Uniform Tran. Code	Deposits (\$)	Disbursements (\$)	Account/CD Balance (\$)
		New Mexico Tax & Rev Dept	Final distribution to claim 5 representing a payment of 100.00 % per court order.	(\$88.75) 5800-000			
12/22/15	1019	EBSA U. S. Department of Labor c/o Atty Gail A. Perry/Ofc of Solicitor Plan Benefits Security Division PO Box 1914 Washington, DC 20013	Final distribution to claim 2 representing a payment of 100.00 % per court order.			\$50,374.25	\$570,588.58
				(\$374.25) 7990-000			
		EBSA U. S. Department of Labor	Final distribution to claim 2 representing a payment of 100.00 % per court order.	(\$50,000.00) 7100-000			
12/22/15	1020	Atkinson & Co. Ltd. PO Box 25246 Albuquerque, NM 87125	Final distribution to claim 4 representing a payment of 100.00 % per court order.			\$8,548.61	\$562,039.97
				(\$63.51) 7990-000			
		Atkinson & Co. Ltd.	Final distribution to claim 4 representing a payment of 100.00 % per court order.	(\$8,485.10) 7100-000			
12/22/15	1021	NEW MEXICO TAXATION & REVENUE DEPAR PO Box 8575 Albuquerque, NM 87198-8575	Final distribution to claim 5 representing a payment of 100.00 % per court order.			\$643.57	\$561,396.40
				(\$4.78) 7990-000			
		NEW MEXICO TAXATION & REVENUE DEPAR	Final distribution to claim 5 representing a payment of 100.00 % per court order.	(\$638.79) 7100-000			
12/22/15	1022	Michael J. Hymel c/o Atty Jeffrey A. Dahl Keleher & McLeod, PA PO Box AA Albuquerque, NM 87103	Final distribution to claim 6 representing a payment of 100.00 % per court order.			\$48,761.26	\$512,635.14
				(\$362.26) 7990-000			

FORM 2
ESTATE CASH RECEIPTS AND DISBURSEMENTS RECORD

Case No: 12-11195

Case Name: COLLEGE OF THE CHRISTIAN BROTHERS O

Trustee Name: MICHAEL J. CAPLAN - CHP 7

Exhibit 9

Taxpayer ID No: XX-XXX0718

For Period Ending: 04/26/2017

Bank Name: First National Bank - Vinita

Account Number/CD#: XXXXXX1121

CHECKING ACCOUNT

Blanket Bond (per case limit): \$8,500,000.00

Separate Bond (if applicable):

1	2	3	4	5	6	7	
Transaction Date	Check or Reference	Paid To / Received From	Description of Transaction	Uniform Tran. Code	Deposits (\$)	Disbursements (\$)	Account/CD Balance (\$)
		Michael J. Hymel	Final distribution to claim 6 representing a payment of 100.00 % per court order. (\$48,399.00)	7100-000			
12/22/15	1023	P. A. Long Pound & Komer 2200 Brothers Road Santa Fe, New Mexico 87502	Final distribution to claim 7 representing a payment of 100.00 % per court order.				\$55,067.31
				(\$409.11)	7990-000		
		P. A. Long Pound & Komer	Final distribution to claim 7 representing a payment of 100.00 % per court order.	(\$12,846.36)	7100-000		
				(\$41,811.84)	8200-002		
12/22/15	1024	LLP Brownstein Hyatt Faber Schreck 410 17th Street, Suite 2200 Denver, CO 80202	Final distribution to claim 8 representing a payment of 100.00 % per court order.				\$52,077.29
				(\$386.90)	7990-000		
		LLP Brownstein Hyatt Faber Schreck	Final distribution to claim 8 representing a payment of 100.00 % per court order.	(\$51,690.39)	7100-000		
12/22/15	1025	Pension Planning Consultants, Inc. 6201 Uptown Blvd Ne, Ste. 100 Albuquerque, Nm 87110	Final distribution to claim 13 representing a payment of 100.00 % per court order.				\$8,365.45
				(\$62.15)	7990-000		
		Pension Planning Consultants, Inc.	Final distribution to claim 13 representing a payment of 100.00 % per court order.	(\$8,303.30)	7100-000		
12/22/15	1026	COLLEGE OF THE CHRISTIAN BROTHERS O C/O THUMA & WALKER, PC THOMAS D. WALKER 500 MARQUETTE, NW #650 ALBUQUERQUE, NM 87102	Distribution of surplus funds to debtor.	8200-002			\$397,125.09
							\$0.00

COLUMN TOTALS

\$706,682.38

\$706,682.38

Less: Bank Transfers/CD's	\$706,610.60	\$0.00
Subtotal	\$71.78	\$706,682.38
Less: Payments to Debtors	\$0.00	\$443,804.82
Net	\$71.78	\$262,877.56

Exhibit 9

FORM 2
ESTATE CASH RECEIPTS AND DISBURSEMENTS RECORD

Case No: 12-11195

Case Name: COLLEGE OF THE CHRISTIAN BROTHERS O

Trustee Name: MICHAEL J. CAPLAN - CHP 7

Exhibit 9

Taxpayer ID No: XX-XXX0718

For Period Ending: 04/26/2017

Bank Name: Bank of America

Account Number/CD#: XXXXXX9399

CHECKING ACCOUNT

Blanket Bond (per case limit): \$8,500,000.00

Separate Bond (if applicable):

1	2	3	4	5	6	7	
Transaction Date	Check or Reference	Paid To / Received From	Description of Transaction	Uniform Tran. Code	Deposits (\$)	Disbursements (\$)	Account/CD Balance (\$)
05/29/12	3	New Mexico Bank & Trust 320 Gold St. Sw Albuquerque, NM 87102	Checking Account	1129-000	\$462,531.83		\$462,531.83
05/29/12	5	New Mexico Bank & Trust 320 Gold St. SW Albuquerque, NM 87102	Checking Account	1129-000	\$9,052.50		\$471,584.33
05/29/12	2	First Citizens Bank Raleigh, NC	Checking Account	1129-000	\$1,431.24		\$473,015.57
05/29/12	4	New Mexico Bank & Trust 320 Gold ST. SW Albuquerque, NM 87102	Checking Account	1129-000	\$230,837.08		\$703,852.65
05/29/12	1	Long, Pound & Romer P.O. Box 5098 Santa Fe, NM 87502	Funds in Trust Account	1290-000	\$4,660.74		\$708,513.39
06/29/12		BANK OF AMERICA	BANK AND TECHNOLOGY SERVICE FEE	2600-000		\$742.82	\$707,770.57
07/31/12		BANK OF AMERICA	BANK AND TECHNOLOGY SERVICE FEE	2600-000		\$928.22	\$706,842.35
08/09/12		BANK OF AMERICA		2600-000		\$231.75	\$706,610.60
08/09/12		Transfer to Acct# XXXXXX1121	Transfer of Funds	9999-000		\$706,610.60	\$0.00

COLUMN TOTALS	\$708,513.39	\$708,513.39
Less: Bank Transfers/CD's	\$0.00	\$706,610.60
Subtotal	\$708,513.39	\$1,902.79
Less: Payments to Debtors	\$0.00	\$0.00
Net	\$708,513.39	\$1,902.79

Exhibit 9

TOTAL OF ALL ACCOUNTS		NET	ACCOUNT
	NET DEPOSITS	DISBURSEMENTS	BALANCE
XXXXXX1121 - CHECKING ACCOUNT	\$71.78	\$262,877.56	\$0.00
XXXXXX9399 - CHECKING ACCOUNT	\$708,513.39	\$1,902.79	\$0.00
	\$708,585.17	\$264,780.35	\$0.00
	(Excludes account transfers)	(Excludes payments to debtors)	Total Funds on Hand
Total Allocation Receipts:	\$0.00		
Total Net Deposits:	\$708,585.17		
<u>Total Gross Receipts:</u>	<u>\$708,585.17</u>		